

FILE COPY Receipt

Patent

Attorney Docket No. GEMS 8081.08

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Singh, et al.  
Serial No. : 09/468,752  
Filed : December 21, 1999  
For : METHOD AND APPRATUS FOR SCREENING A  
POTENTIAL CUSTOMER AND ASSIGNING AN  
ACCOUNT NUMBER TO THE POTENTIAL  
CUSTOMER ACROSS A GLOBAL COMPUTER  
NETWORK  
Group No. : 2755  
Examiner : Unknown

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

- deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

37 CFR 1.10

- with sufficient postage as first class mail ☐ As "Express Mail Post Office to Addressee" Mailing Label No.

**Transmission**

- ☐ transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office.

Date: April 20, 2000

*Ravi Singh*  
Signature

Commissioner for Patents  
Application Processing Division's  
Customer Correction Branch  
Washington, D.C. 20231

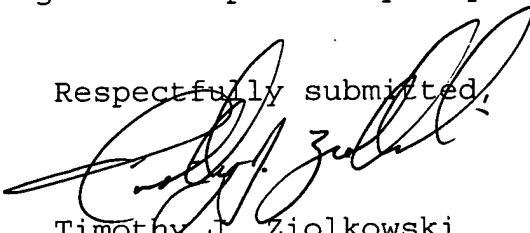
Dear Sirs:

A copy of the Filing Receipt is herein enclosed. Please  
make the corrections as noted thereon.

The original Filing Receipt shows the inventor's name as Leong Cheng Loong Gilbert. The corrected name of the inventor is "Gilbert C. L. Leong." The original Filing Receipt also does not show the inventors' residence. The residence for Vikram Singh is "Milwaukee, WI." The residence for Lisa McClung is "Chicago, IL." The residence for Gilbert C.L. Leong is "Singapore, Singapore." The residence for Karl-Heinz Hetfleisch is "Germany, Fed Rep Germany."

Entry of these changes is respectfully requested.

Respectfully submitted,



Timothy J. Ziolkowski  
Registration No. 38,368

Dated: April 20, 2000

**P.O. ADDRESS:**

BOYLE FREDRICKSON ZIOLKOWSKI S.C.  
250 East Wisconsin Avenue  
Suite 1030  
Milwaukee, Wisconsin 53202  
(414) 225-9755

FILE COPY



Bib Data Sheet

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

<b>SERIAL NUMBER</b> 09/468,752	<b>FILING DATE</b> 12/21/1999 <b>RULE</b> _	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2755	<b>ATTORNEY DOCKET NO.</b> GEM-30890	
<b>APPLICANTS</b> VIKRAM SINGH, Milwaukee, WI ; LISA MCCLUNG, Chicago, IL ; LEONG CHENG LOONG GILBERT, Singapore, SINGAPORE; KARL-HEINZ HETFLEISCH-WENZEL, Johannisbrunnelstrabe, GERMANY;					
<b>** CONTINUING DATA *****</b>  <b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b> <b>** 02/11/2000</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Allowance <i>ASdy</i> <i>ASL</i> Verified and Acknowledged <u>Examiner's Signature</u> <u>Initials</u>		<b>STATE OR COUNTRY</b> WI	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 23	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> 22202 <i>COOK &amp; Franke SC</i> <i>660 E. Mason Street</i> <i>Milwaukee WI 53202-3877</i>					
<b>TITLE</b> METHOD AND APPARATUS FOR SCREENING A POTENTIAL CUSTOMER AND ASSIGNING AN ACCOUNT NUMBER TO THE POTENTIAL CUSTOMER ACROSS A GLOBAL COMPUTER NETWORK					
<b>FILING FEE RECEIVED</b> 944	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

 RECEIVED  
 MAY - 8 2000  
 2700 MAIL ROOM

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/468,752	12/21/99	2755	\$814.00	GEM-30890	40	23	3

022202

WHYTE HIRSCHBOECK DUDEK S C  
111 EAST WISCONSIN AVENUE  
SUITE 2100  
MILWAUKEE WI 53202



RECEIVED  
MAY - 8 2000  
102700 MAIL ROOM

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

*Milwaukee, WI*

*Chicago, IL*

Applicant(s) ~~VIKRAM SINGH, NOT PROVIDED; LISA MCCLUNG, NOT PROVIDED;~~  
~~GILBERT C. L. LEONG CHENG LOONG GILBERT, NOT PROVIDED; KARL-HEINZ~~  
~~HETTFLEISCH-WENZEL, NOT PROVIDED.~~

*Singapore, Singapore*

*Germany, Fed Rep Germany*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/11/00

TITLE

METHOD AND APPARATUS FOR SCREENING A POTENTIAL CUSTOMER AND ASSIGNING AN ACCOUNT NUMBER TO THE POTENTIAL CUSTOMER ACROSS A GLOBAL COMPUTER NETWORK

PRELIMINARY CLASS: 709

DATA ENTRY BY: WILLIAMS, EVERETT, TEAM: 01 DATE: 02/11/00

\*\*\*\*\*

(See reverse for new important information)

*re*

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE ---- The Following Information about the Filing Receipt:**

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:  
Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231